# Pseudo-Boolean Proof Logging for Problems that are not Pseudo-Boolean

Ciaran McCreesh











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- **3** Feed input + solution + proof to proof checker.

Recap			
000			



- **1** Run solver on problem input.
- 2 Solver also prints out a proof as part of its output.
- **3** Feed input + solution + proof to proof checker.
- 4 Verify that proof checker says solution is correct.

So Far...

- Pseudo-Boolean problems are a superset of SAT / CNF.
- Cutting planes is a superset of resolution.
- Decoupling solver language from proof language: easier or more efficient proofs if we can use a richer proof language, even if the solver isn't searching for proofs in that language.

### The Rest of This Talk

- Lots of more general algorithms that aren't thought of as doing "proof search".
- Extended cutting planes is still a good language for justifying their inferences.
- We can deal with non-Boolean variables.
- We can go beyond backtracking search and clause learning.
- Key point: can still take existing algorithms and techniques, and add print statements (albeit with more thinking and book-keeping required).

The Maximum Clique Problem



The Maximum Clique Problem



# Maximum Clique Solvers

There are a lot of dedicated solvers for clique problems.

But there are issues:

- "State-of-the-art" solvers have been buggy.
- Often undetected: error rate of around 0.1%.

Often used inside other solvers:

An off-by-one result can cause much larger errors.

# A Brief and Incomplete Guide to Clique Solving (1/4)

Recursive maximum clique algorithm:

- Pick a vertex v.
- Either v is in the clique...
  - Throw away every vertex not adjacent to v.
  - If vertices remain, recurse.
- $\blacksquare$  ... or v is not in the clique, so
  - Throw v away and pick another vertex.

# A Brief and Incomplete Guide to Clique Solving (2/4)

Key data structures:

- Growing clique *C*.
- Shrinking set of potential vertices *P*.
  - All the vertices we haven't thrown away yet.
  - Every  $v \in P$  is adjacent to every  $w \in C$ .

# A Brief and Incomplete Guide to Clique Solving (2/4)

Key data structures:

- Growing clique *C*.
- Shrinking set of potential vertices *P*.
  - All the vertices we haven't thrown away yet.
  - Every  $v \in P$  is adjacent to every  $w \in C$ .

Branch and bound:

- Remember the biggest clique  $C^{\star}$  found so far.
- If  $|C| + |P| \le |C^{\star}|$ , no need to keep going.

# A Brief and Incomplete Guide to Clique Solving (3/4)



Given a k-colouring of a subgraph, that subgraph cannot have a clique of more than k vertices. We can use |C| + #colours(P) as a bound, for any colouring. Recap Graph Problems End-to-End Verification Constraint Programming Dynamic Programming Cool Things I Will Not Have Time For Conclusion coco cococococococococo cococo cococo cocococo cocococo cococococo cococococo cocococo cococococo cocococo cococococo cocococo cocococo cocococo cocococo cocococo cocococo cocococo cococococo cocococo cococococo cocococo cococococo cococococo cocococo cococo cocococo cocococo cocococo cocococo cocococo cocococo coc

# A Brief and Incomplete Guide to Clique Solving (4/4)

- This brings us to 1997.
- Many improvements since then:
  - better bound functions,
  - clever vertex selection heuristics,
  - efficient data structures,
  - local search,
  - • •
- But key ideas for proof logging can be explained without worrying about such things.

# Making a Proof Logging Clique Solver

1 Output a pseudo-Boolean encoding of the problem.

- Clique problems have several standard file formats.
- 2 Make the solver log its search tree:
  - Output a small header.
  - Output something on every backtrack.
  - Output something every time a solution is found.
  - Output a small footer.
- **3** Figure out how to log the bound function.











#### A Pseudo-Boolean Encoding for Clique (in OPB Format)





#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



 Graph Problems
 End-to-End Verification
 Constraint Programming
 Dynamic Programming
 Cool
 Third
 Cool
 Constraint Programming
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#### First Attempt at a Proof

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soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Start with a header Load the 41 problem axioms

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Branch accepting 12 Throw away non-adjacent vertices

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Branch also accepting 7 Throw away non-adjacent vertices

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Branch also accepting 9 Throw away non-adjacent vertices

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



We branched on 12, 7, 9 Found a new incumbent Now looking for a  $\geq 4$  vertex clique

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 ~x12 1 ~x7 >= 1 ;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1 :
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Backtrack from 12, 7 9 explored already, only 6 feasible No  $\geq$  4 vertex clique possible Effectively this deletes the 7–12 edge

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 ~x12 1 ~x7 >= 1 ;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Backtrack from 12 Only 1, 6 and 9 feasible (1-colourable) No  $\geq 4$  vertex clique possible Effectively this deletes vertex 12

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 ~x12 1 ~x7 >= 1 ;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Branch on 11 then 10 Only 1, 3 and 9 feasible (1-colourable) No  $\geq 4$  vertex clique possible Backtrack, deleting the edge

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```

11

Backtrack from 11 2-colourable, so no  $\geq 4$  clique Delete the vertex

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 ~x12 1 ~x7 >= 1 ;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 ~x8 1 ~x5 >= 1 ;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Branch on 8, 5, 1, 2 Find a new incumbent Now looking for a  $\geq 5$  vertex clique

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 ~x8 1 ~x5 >= 1 ;
rup 1 ~x8 >= 1 ;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Backtrack from 8, 5 Only 4 vertices; can't have a  $\geq 5$  clique Delete the edge

#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 ~x8 >= 1 ;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```

Backtrack from 8 Still not enough vertices Delete the vertex



#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 x12 1 x7 >= 1;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



#### Remaining graph is 3-colourable Backtrack from root node
#### First Attempt at a Proof

```
pseudo-Boolean proof version 2.0
f 41
soli x7 x9 x12
rup 1 ~x12 1 ~x7 >= 1 ;
rup 1 x12 >= 1;
rup 1 x11 1 x10 >= 1;
rup 1 x11 >= 1;
soli x1 x2 x5 x8
rup 1 x8 1 x5 >= 1;
rup 1 x8 >= 1;
rup >= 1;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```



Finish with what we've concluded We specify a lower and an upper bound Remember we're minimising  $\sum_v -1 \times v$ , so a 4-clique has an objective value of -4

### Verifying This Proof (Or Not...)

```
$ veripb clique.opb clique-attempt-one.veripb
Verification failed.
Failed in proof file line 6.
Hint: Failed to show '1 ~x10 1 ~x11 >= 1' by reverse unit propagation.
```

### Verifying This Proof (Or Not...)

```
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Verification failed.
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Hint: Failed to show '1 ~x10 1 ~x11 >= 1' by reverse unit propagation.
```



### Verifying This Proof (Or Not...)

```
$ veripb --trace clique.opb clique-attempt-one.veripb
line 002 f 41
 ConstraintId 001: 1 x_1 = x_3 \ge 1
 ConstraintId 002: 1 x^2 1 x^3 \ge 1
. . .
 ConstraintId 041: 1 x11 1 x12 \ge 1
line 003: soli x7 x9 x12 ~x1 ~x2 ~x3 ~x4 ~x5 ~x6 ~x8 ~x10 ~x11
 ConstraintId 042: 1 x1 1 x2 1 x3 1 x4 1 x5 1 x6 1 x7 1 x8 1 x9 1 x10 1 x11 1 x12 >= 4
line 004: rup 1 ~x12 1 ~x7 >= 1 ;
 ConstraintId 043: 1 x7 1 x12 \ge 1
line 005: rup 1 x12 >= 1;
 ConstraintId 044: 1 x_{12} \ge 1
line 006: rup 1 ~x11 1 ~x10 >= 1 :
Verification failed.
Failed in proof file line 6.
Hint: Failed to show '1 "x10 1 "x11 >= 1' by reverse unit propagation.
```

## Dealing With Colourings

The colour bound doesn't follow by RUP...

But we can lazily recover at-most-one constraints for each colour class!

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The colour bound doesn't follow by RUP...

But we can lazily recover at-most-one constraints for each colour class!

$$\begin{array}{ll} (\overline{x}_1 + \overline{x}_6 \ge 1) \\ + (\overline{x}_1 + \overline{x}_9 \ge 1) \\ + (\overline{x}_6 + \overline{x}_9 \ge 1) \\ /2 \\ \end{array} \begin{array}{ll} = 2\overline{x}_1 + \overline{x}_6 + \overline{x}_9 \ge 2 \\ = 2\overline{x}_1 + 2\overline{x}_6 + 2\overline{x}_9 \ge 3 \\ = \overline{x}_1 + \overline{x}_6 + \overline{x}_9 \ge 2 \\ \text{i.e. } x_1 + x_6 + x_9 \le 1 \end{array}$$

## Dealing With Colourings

The colour bound doesn't follow by RUP...

But we can lazily recover at-most-one constraints for each colour class!

$(\overline{x}_1 + \overline{x}_6 \ge 1)$	
$+\left(\overline{x}_1 + \overline{x}_9 \ge 1\right)$	$= 2\overline{x}_1 + \overline{x}_6 + \overline{x}_9 \ge 2$
$+\left(\overline{x}_6 + \overline{x}_9 \ge 1\right)$	$=2\overline{x}_1+2\overline{x}_6+2\overline{x}_9\geq 3$
/ 2	$= \overline{x}_1 + \overline{x}_6 + \overline{x}_9 \ge 2$
	i.e. $x_1 + x_6 + x_9 \le 1$

This generalises to colour classes of any size v.

- $\blacksquare$  Each non-edge is used exactly once, v(v-1) additions
- v-3 multiplications and v-2 divisions.

Solvers don't need to "understand" cutting planes to write this derivation to proof log.

#### What This Looks Like in the Proof Log

```
pseudo-Boolean proof version 2.0
f 41
soli x12 x7 x9
rup 1 ~x12 1 ~x7 >= 1 ;
* bound, colour classes [ x1 x6 x9 ]
pol 7_{1 \neq 6} 19<sub>1 \neq 9</sub> + 24<sub>6 \neq 9</sub> + 2 d
pol 42<sub>obi</sub> -1 +
rup 1 x12 >= 1;
* bound, colour classes [ x1 x3 x9 ]
pol 1_{1 \neq 3} 19<sub>1 \not 9</sub> + 21<sub>3 \not 9</sub> + 2 d
pol 42<sub>obi</sub> -1 +
rup 1 x11 1 x10 >= 1;
* bound, colour classes [ x1 x3 x7 ]
* [ x9 ]
pol 1_{1 \neq 3} 10_{1 \neq 7} + 12_{3 \neq 7} + 2 d
pol 42<sub>obi</sub> -1 +
rup 1 ~x11 >= 1 ;
```

```
soli x8 x5 x2 x1
rup 1 x8 1 x5 >= 1;
* bound, colour classes [ x1 x9 ] [ x2 ]
pol 53<sub>obi</sub> 19<sub>1×9</sub> +
rup 1 x8 >= 1;
* bound, colour classes [ x1 x3 x7 ]
* [ x2 x4 x9 ] [ x5 x6 x10 ]
pol 1_{1 \times 3} 10_{1 \times 7} + 12_{3 \times 7} + 2 d
pol 53<sub>obi</sub> -1 +
pol 4_{2\sqrt{4}} 20<sub>2\sqrt{9}</sub> + 22<sub>4\sqrt{9}</sub> + 2 d
pol 53<sub>obi</sub> -3 + -1 +
pol 9_{5 \neq 6} 26_{5 \neq 10} + 27_{6 \neq 10} + 2 d
pol 53_{obj} -5 + -3 + -1 +
rup >= 1 ;
output NONE
conclusion BOUNDS -4 -4
end pseudo-Boolean proof
```

### Verifying This Proof (For Real, This Time)

```
$ veripb --trace clique.opb clique-attempt-two.veripb
line 002 f 41
 ConstraintId 001: 1 x1 1 x3 \ge 1
. . .
 ConstraintId 041 \cdot 1 ~x11 1 ~x12 >= 1
line 003: soli x7 x9 x12 ~x1 ~x2 ~x3 ~x4 ~x5 ~x6 ~x8 ~x10 ~x11
 ConstraintId 042: 1 x1 1 x2 1 x3 1 x4 1 x5 1 x6 1 x7 1 x8 1 x9 1 x10 1 x11 1 x12 >= 4
. . .
 ConstraintId 061: 1 x_5 1 x_6 1 x_{10} \ge 2
line 028: pol 53 57 + 59 + 61 +
 ConstraintId 062: 1 x8 1 x11 1 x12 >= 2
line 029: rup >= 1 ;
 ConstraintId 063: \geq 1
line 030: output NONE
line 031: conclusion BOUNDS -4 -4
line 032: end pseudo-Boolean proof
=== end trace ===
```

## Different Clique Algorithms

Different search orders?

 $\checkmark\,$  Irrelevant for proof logging.

Using local search to initialise?

 $\checkmark$  Just log the incumbent.

Different bound functions?

- Is cutting planes strong enough to justify every useful bound function ever invented?
- So far, seems like it...

Weighted cliques?

- ✓ Multiply a colour class by its largest weight.
- $\checkmark\,$  Also works for vertices "split between colour classes".



- Find the pattern inside the target
- Applications in compilers, biochemistry, model checking, pattern recognition, ...
- Often want to find all matches





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	Graph Problems		Constraint Programming	Dynamic Programming	Cool Things I Will Not Have Time For		
	000000000000000000000000000000000000000						
Gocht, McCreesh, Nordström: Subgraph Isomorphism Meets Cutting Planes: Solving With Certified Solutions, IJCAI 2020							



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- Applications in compilers, biochemistry, model checking, pattern recognition, ...
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#### Subgraph Isomorphism in Pseudo-Boolean Form

Each pattern vertex gets a target vertex:

t

$$\sum_{e \in \mathcal{V}(T)} x_{p,t} = 1 \qquad \qquad p \in \mathcal{V}(P)$$

### Subgraph Isomorphism in Pseudo-Boolean Form

Each pattern vertex gets a target vertex:

$$\sum_{e \in \mathcal{V}(T)} x_{p,t} = 1 \qquad \qquad p \in \mathcal{V}(P)$$

Each target vertex may be used at most once:

t

$$\sum_{p \in \mathcal{V}(P)} -x_{p,t} \ge -1 \qquad \qquad t \in \mathcal{V}(T)$$

### Subgraph Isomorphism in Pseudo-Boolean Form

Each pattern vertex gets a target vertex:

$$\sum_{e \in \mathcal{V}(T)} x_{p,t} = 1 \qquad \qquad p \in \mathcal{V}(P)$$

Each target vertex may be used at most once:

t

$$\sum_{p \in \mathcal{V}(P)} -x_{p,t} \ge -1 \qquad \qquad t \in \mathcal{V}(T)$$

Adjacency constraints, if p is mapped to t, then p's neighbours must be mapped to t's neighbours:

$$\overline{x}_{p,t} + \sum_{u \in \mathcal{N}(t)} x_{q,u} \ge 1 \qquad \qquad p \in \mathcal{V}(P), \ q \in \mathcal{N}(p), \ t \in \mathcal{V}(T)$$



Pattern vertex p of degree deg(p) can never be mapped to target vertex t of degree deg(p) in any subgraph isomorphism.

Observe  $N(p) = \{q, r, s\}$  and  $N(t) = \{u, v\}$ .

We wish to derive  $\overline{x}_{p,t} \geq 1$ .

z



Pseudo-Boolean Proof Logging for Problems that are not Pseudo-Boolean



 $\overline{x}_{p,t} \ge -1$ 

Graph Problems Gocht, McCreesh, Nordström: Subgraph Isomorphism Meets Cutting Planes; Solving With Certified Solutions, IJCAI 2020

### Degree Reasoning in VERIPB

```
pol 18_{p \sim t; q} 19_{p \sim t; r} + 20_{p \sim t; s} + * sum adjacency constraints
  12_{ini(u)} + 13_{ini(v)} +
  xo_u + xo_v +
  xp_u + xp_v +
  3 d
```

```
* sum injectivity constraints
* cancel stray xo_*
* cancel stray xp_*
* divide, and we're done
```

Or we can ask VERIPB to do the last bit of simplification automatically:

```
pol 18_{n \sim t:r} 19_{n \sim t:r} + 20_{n \sim t:s} + * sum adjacency constraints
  12_{ini(u)} + 13_{ini(v)} +
ia -1 : 1 ~xp_t >= 1 ;
```

- \* sum injectivity constraints
- \* desired conclusion is implied

# Other Forms of Reasoning

We can also log all of the other things state of the art subgraph solvers do:

- Injectivity reasoning and filtering,
- Distance filtering,
- Neighbourhood degree sequences,
- Path filtering,
- Supplemental graphs.

# Other Forms of Reasoning

We can also log all of the other things state of the art subgraph solvers do:

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- Distance filtering,
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- Path filtering,
- Supplemental graphs.

Proof steps are "efficient" using cutting planes:

- Length of proof  $\approx$  time complexity of the reasoning algorithms.
- Most proof steps require only trivial additional computations.

	Graph Problems				Cool Things I Will Not Have Time For		
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Code

https://github.com/ciaranm/glasgow-subgraph-solver

Released under MIT Licence.









#### End-to-End Verification of Subgraph-Finding

\$ glasgow\_clique\_solver brock200\_4.clq --prove brock200\_4 --proof-names --recover-proof-enc omega = 17 clique = 12 19 28 29 38 54 65 71 79 93 117 127 139 161 165 186 192

\$ veripb proof.opb proof.pbp Verification succeeded.

\$ grep conclusion proof.pbp conclusion BOUNDS 183 183

\$ cake\_pb\_clique brock200\_4.clq > brock200\_4.verifiedopb

\$ veripb proof.verifiedopb proof.pbp --proofOutput proof.corepb Verification succeeded.

```
$ cake_pb_clique brock200_4.clq proof.corepb
s VERIFIED MAX CLIQUE SIZE |CLIQUE| = 17
```

What Exactly are we Verifying?

 $\begin{array}{l} \text{is\_clique } vs \; (v,e) \stackrel{\text{def}}{=} \\ vs \subseteq \; \left\{ \begin{array}{l} 0,1,\ldots,v-1 \end{array} \right\} \; \land \\ \forall x \; y. \; x \in vs \; \land \; y \in vs \; \land \; x \neq y \Rightarrow \text{is\_edge } e \; x \; y \\ \text{max\_clique\_size } g \stackrel{\text{def}}{=} \; \max_{\text{set}} \; \left\{ \; \text{card} \; vs \; | \; \text{is\_clique } vs \; g \; \right\} \end{array}$ 

### What Exactly are we Verifying?

clique\_eq\_str  $n \stackrel{\text{def}}{=}$  "s VERIFIED MAX CLIQUE SIZE |CLIQUE| = "  $\hat{}$  toString  $n \hat{}$  "\n" clique\_bound\_str  $l \ u \stackrel{\text{\tiny def}}{=}$ "s verified max clique size bound " ^ toString l ^ " <= |clique| <= " ^ toString u ^ "\n"  $\vdash$  cake\_pb\_clique\_run  $cl fs mc ms \Rightarrow$ machine\_sem mc (basis\_ffi cl fs)  $ms \subset$ extend\_with\_resource\_limit { Terminate Success (cake\_pb\_clique\_io\_events cl fs) }  $\land$  $\exists out \ err.$ extract\_fs fs (cake\_pb\_clique\_io\_events cl fs) = Some (add\_stdout (add\_stderr fs err) out)  $\land$  $(out \neq "" \Rightarrow$  $\exists g. \text{get_graph_dimacs } fs \ (el \ 1 \ cl) = \text{Some } g \land$ (length  $cl = 2 \land out = \text{concat} (\text{print_pbf} (\text{full_encode } q)) \lor$ length  $cl = 3 \land$  $(out = clique_eq_str(max_clique_size q) \lor$  $\exists l \ u.out = \mathsf{clique\_bound\_str} \ l \ u \land (\forall vs. is\_\mathsf{clique} \ vs \ q \Rightarrow \mathsf{card} \ vs \le u) \land$  $\exists vs. is_{clique} vs q \land l < card vs)))$ 

### What's Left to Trust?

Still have to trust:

- The HOL4 theorem prover.
- That the formal HOL model of the CakeML environment corresponds to the hardware on which it is run.
- HOL definition of what it means to be a maximum clique or a subgraph isomorphism.
- Input parsing and output formatting.

No need to trust, or even know about:

- How the solver works.
- What pseudo-Boolean means.
|  |   | End-to-End Verification | Constraint Programming | Dynamic Programming | Cool Things I Will Not Have Time For |  |  |
|--|---|-------------------------|------------------------|---------------------|--------------------------------------|--|--|
| 000  | 000000000000000000000000000000000000000 | 00000                   | 0000000000             | 000000000000        | 0000000                              |  |  |
| Gocht, McCreesh, Myreen, Nordström, Oertel, Tan: End-to-End Verification for Subgraph Solving, AAAI 2024 |   |                         |                        |                     |                                      |  |  |

### Code

#### https://github.com/ciaranm/glasgow-subgraph-solver

#### https://gitlab.com/MIAOresearch/software/VeriPB

#### https://gitlab.com/MIAOresearch/software/CakePB

# What About Constraint Programming?

Non-Boolean variables?

Constraints?

- Encoding constraints in pseudo-Boolean form?
- Justifying inferences?

**Reformulations?** 

# Compiling CP Variables (1/2)

Given  $A \in \{-3 \dots 9\}$ , the direct encoding is:

$$a_{=-3} + a_{=-2} + a_{=-1} + a_{=0} + a_{=1} + a_{=2} + a_{=3} + a_{=4} + a_{=5} + a_{=6} + a_{=7} + a_{=8} + a_{=9} = 1$$

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This doesn't work for large domains...

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We could use a binary encoding:

$$\begin{aligned} & -16a_{\rm neg} + 1a_{\rm b0} + 2a_{\rm b1} + 4a_{\rm b2} + 8a_{\rm b3} \geq -3 \qquad \text{and} \\ & 16a_{\rm neg} + -1a_{\rm b0} + -2a_{\rm b1} + -4a_{\rm b2} + -8a_{\rm b3} \geq -9 \end{aligned}$$

This doesn't propagate much, but that isn't a problem for proof logging.

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This doesn't propagate much, but that isn't a problem for proof logging.

Convention in what follows:

- Upper-case A, B, C are CP variables;
- Lower-case *a*, *b*, *c* are corresponding Boolean variables in PB encoding.

# Compiling CP Variables (2/2)

We can mix binary and an order encoding! Where needed, define:

$$\begin{aligned} a_{\geq 4} \Leftrightarrow -16a_{\mathrm{neg}} + 1a_{\mathrm{b}0} + 2a_{\mathrm{b}1} + 4a_{\mathrm{b}2} + 8a_{\mathrm{b}3} \geq 4\\ a_{\geq 5} \Leftrightarrow -16a_{\mathrm{neg}} + 1a_{\mathrm{b}0} + 2a_{\mathrm{b}1} + 4a_{\mathrm{b}2} + 8a_{\mathrm{b}3} \geq 5\\ a_{=4} \Leftrightarrow a_{\geq 4} \wedge \overline{a}_{\geq 5} \end{aligned}$$

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When creating  $a_{\geq i}$ , also introduce pseudo-Boolean constraints encoding

$$a_{\geq i} \Rightarrow a_{\geq j}$$
 and  $a_{\geq h} \Rightarrow a_{\geq i}$ 

for the closest values j < i < h that already exist.

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$$a_{\geq i} \Rightarrow a_{\geq j}$$
 and  $a_{\geq h} \Rightarrow a_{\geq i}$ 

for the closest values j < i < h that already exist.

We can do this:

- Inside the pseudo-Boolean model, where needed;
- Otherwise lazily during proof logging.



## Compiling Constraints

- Also need to compile every constraint to pseudo-Boolean form.
- Doesn't need to be a propagating encoding.
- Can use additional variables.

### Compiling Linear Inequalities

Given inequality

 $2A + 3B + 4C \ge 42$ 

where  $A, B, C \in \{-3 \dots 9\}$ ,

### Compiling Linear Inequalities

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$$2A + 3B + 4C \ge 42$$

where  $A, B, C \in \{-3...9\}$ ,

Encode in pseudo-Boolean form as

$$-32a_{\rm neg} + 2a_{\rm b0} + 4a_{\rm b1} + 8a_{\rm b2} + 16a_{\rm b3} + -48b_{\rm neg} + 3b_{\rm b0} + 6b_{\rm b1} + 12b_{\rm b2} + 24b_{\rm b3} + -64c_{\rm neg} + 4c_{\rm b0} + 8c_{\rm b1} + 16c_{\rm b2} + 32c_{\rm b3} \ge 42$$

			Constraint Programming				
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Gocht, McCreesh, Nordström: An Auditable Constraint Programming Solver, CP 2022							

## Compiling Table Constraints

Constraints can be specified extensionally as list of feasible tuples, called a table. Variable assignments must match some row in table.

## Compiling Table Constraints

Constraints can be specified extensionally as list of feasible tuples, called a table. Variable assignments must match some row in table.

Given table constraint

$$(A,B,C)\in [(1,2,3),(1,3,4),(2,2,5)]$$

define

$$\begin{array}{ll} 3\bar{t}_1 + a_{=1} + b_{=2} + c_{=3} \geq 3 \\ 3\bar{t}_2 + a_{=1} + b_{=4} + c_{=4} \geq 3 \\ 3\bar{t}_3 + a_{=2} + b_{=2} + c_{=5} \geq 3 \end{array} \qquad \begin{array}{ll} \text{i.e. } t_1 \Rightarrow (a_{=1} \wedge b_{=2} \wedge c_{=3}) \\ \text{i.e. } t_2 \Rightarrow (a_{=1} \wedge b_{=4} \wedge c_{=4}) \\ \text{i.e. } t_3 \Rightarrow (a_{=2} \wedge b_{=2} \wedge c_{=5}) \end{array}$$

using tuple selector variables

$$t_1 + t_2 + t_3 = 1$$

## Encoding Constraint Definitions

Already know how to do it for any constraint with a sane encoding using some combination of

CNF,

- Integer linear inequalities,
- Table constraints,
- Auxiliary variables.

Simplicity is important, propagation strength isn't.



## Justifying Search

Mostly this works as in earlier examples.

Restarts are easy.

No need to justify guesses or decisions, only backtracking.

			Constraint Programming	Dynamic Programming	Cool Things I Will Not Have Time For			
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Gocht, McCreesh, Nordström: An Auditable Constraint Programming Solver, CP 2022								

# Justifying Inference

#### Key idea

Anything the constraint programming solver knows must follow from unit propagation of guessed assignments on constraints in proof log.

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Gocht, McCreesh, Nordström: An Auditable Constraint Programming Solver, CP 2022								

# Justifying Inference

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Anything the constraint programming solver knows must follow from unit propagation of guessed assignments on constraints in proof log.

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Some propagators and encodings need RUP steps for inferences

• A lot of propagators are effectively "doing a little bit of lookahead" but in an efficient way.

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Gocht, McCreesh, Nordström: An Auditable Constraint Programming Solver, CP 2022								

# Justifying Inference

#### Key idea

Anything the constraint programming solver knows must follow from unit propagation of guessed assignments on constraints in proof log.

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Some propagators and encodings need RUP steps for inferences

A lot of propagators are effectively "doing a little bit of lookahead" but in an efficient way.

A few need explicit cutting planes justifications written to the proof log:

- Linear inequalities just need to multiply and add.
- All-different needs a bit more.
- Might need the help of a good PhD student for some propagators.

### Justifying All-Different Failures

$$\begin{array}{cccc} V \in \{ 1 & 4 & 5 \} \\ W \in \{ 1 & 2 & 3 & \\ X \in \{ & 2 & 3 & \\ Y \in \{ 1 & 3 & \\ Z \in \{ 1 & 3 & \\ \end{array} \} \\ \end{array}$$

### Justifying All-Different Failures

$$V \in \{ 1 \quad 4 \quad 5 \}$$
$$W \in \{ 1 \quad 2 \quad 3 \quad \}$$
$$X \in \{ 2 \quad 3 \quad \}$$
$$Y \in \{ 1 \quad 3 \quad \}$$
$$Z \in \{ 1 \quad 3 \quad \}$$

### Justifying All-Different Failures

$$V \in \{1 \quad 4 \quad 5\}$$

$$W \in \{1 \quad 2 \quad 3 \quad \} \quad w_{=1} + \quad w_{=2} + \quad w_{=3}$$

$$X \in \{2 \quad 3 \quad \}$$

$$Y \in \{1 \quad 3 \quad \}$$

$$Z \in \{1 \quad 3 \quad \}$$

 $\geq 1 \quad [W \text{ takes some value}]$ 

Constraint Programming Elffers, Gocht, McCreesh, Nordström: Justifving All Differences Using Pseudo-Boolean Reasoning, AAAI 2020

### Justifying All-Different Failures

- W takes some value 1 | | | | |
  - 1 X takes some value
  - 1 Y takes some value
- $\geq$ 1 Z takes some value

### Justifying All-Different Failures

$$\begin{array}{cccc} \rightarrow & -v_{=1}+-w_{=1}+ & -y_{=1}+-z_{=1} \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 1 \end{array} \right] \\ \rightarrow & -w_{=2}+-x_{=2} & \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 2 \end{array} \right] \\ \rightarrow & -w_{=3}+-x_{=3}+-y_{=3}+-z_{=3} \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 2 \end{array} \right] \end{array}$$

### Justifying All-Different Failures

$$\begin{array}{cccc} \rightarrow & -v_{=1}+-w_{=1}+ & -y_{=1}+-z_{=1} \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 1 \end{array} \right] \\ \rightarrow & -w_{=2}+-x_{=2} & \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 2 \end{array} \right] \\ \rightarrow & -w_{=3}+-x_{=3}+-y_{=3}+-z_{=3} \geq -1 & \left[ \begin{array}{ccc} \text{At most one variable} = 3 \end{array} \right] \end{array}$$

 $-v_{=1}$   $\geq$  1 [Sum all constraints so far ]

### Justifying All-Different Failures

$$v_{=1}$$
  $\geq$  1 [Sum all constraints so far  
 $v_{=1}$   $\geq$  0 [Variable  $v_{=1}$  non-negative

### Justifying All-Different Failures

## Code

#### https://github.com/ciaranm/glasgow-constraint-solver

Released under MIT Licence.

Partial MiniZinc support, more soon. A growing collection of global constraints:

- Absolute value.
- All-different.
- Circuit (check, prevent, SCC).
- Count.
- Element.
- Inverse.
- Knapsack.
- Minumum and Maximum.

- n Value.
- Parity.
- (Reified) integer linear (in)equalities (with large domains, and GAC reformulation).
- Regular (and hence Stretch, Geost, DiffN).
- Smart Table (and hence Lex, At Most One, Not All Equal).

### Knapsack Problems

$$egin{aligned} &x_i \in \{0,1\}\ &\sum_i oldsymbol{w}_i x_i \leq W\ & ext{maximise} \sum_i oldsymbol{p}_i x_i \end{aligned}$$

whether or not we take item i total weight of items taken not too heavy

yay capitalism

For our running example,

$$oldsymbol{w} = [2,5,2,3,2,3]$$
 and  $oldsymbol{p} = [2,4,2,5,4,3]$  with  $W \leq 7$ 

## Dynamic Programming for Knapsack

To decide whether we're taking the ith item, with w weight available to spend,

$$\begin{split} P(i,w) &= \max(\\ P(i-1,w),\\ P(i-1,w-\boldsymbol{w}_i) + \boldsymbol{p}_i \text{ if } \boldsymbol{w}_i \leq w \end{split}$$

## Sparse Dynamic Programming

Key ideas:

- "Maximum" selects between partial sums on the same items with the same combined weights but different profits.
- Don't calculate the same state more than once.
- Only calculate partial sums of weights and profits that can actually be achieved.

Algorithmic details matter a lot for performance, but end up being more or less the same for proof logging.

### Merging More States

The "maximum" means, if we could reach states

$$\sum_{i=1}^\ell {\boldsymbol w}_i = w \text{ and } \sum_{i=1}^\ell {\boldsymbol p}_i = p \qquad \text{or} \qquad \sum_{i=1}^\ell {\boldsymbol w}_i = w \text{ and } \sum_{i=1}^\ell {\boldsymbol p}_i = p'$$

with p > p' then we only need to consider the state with profit p.

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with p > p' then we only need to consider the state with profit p.

More generally, if we have two states

$$\sum_{i=1}^{\ell} \boldsymbol{w}_i = w \text{ and } \sum_{i=1}^{\ell} \boldsymbol{p}_i = p \qquad \text{or} \qquad \sum_{i=1}^{\ell} \boldsymbol{w}_i = w' \text{ and } \sum_{i=1}^{\ell} \boldsymbol{p}_i = p'$$

with  $p \ge p'$  and  $w \le w'$  then we need only consider the former.

Whether or not this can be detected efficiently depends upon how the algorithm is implemented.

## Viewing Dynamic Programming as a Decision Diagram

 $N_{0,0}^{0}$ 

Viewing Dynamic Programming as a Decision Diagram



$$w_1 = 2, p_1 = 2$$

Viewing Dynamic Programming as a Decision Diagram



$$w_1 = 2, p_1 = 2$$
  $w_2 = 5, p_2 = 4$


 $w_1=2, p_1=2$   $w_2=5, p_2=4$   $w_3=2, p_3=2$ 



 $w_1=2, p_1=2$   $w_2=5, p_2=4$   $w_3=2, p_3=2$   $w_4=3, p_4=5$ 





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Demirović, McCreesh, McIlree, Nordström, Oertel, Sidorov: Unpublished								

Do you trust the theory?

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Demirović, M	AcCreesh, McIIree, Nordström, Oe	rtel, Sidorov: Unpublished				

Do you trust the theory?

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Would you trust this inside a larger solver, where side constraints could apply?

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#### PROOFLOGALLOFTHETHINGS!



Knapsack as a Pseudo-Boolean Problem

$$\begin{aligned} &2x_1+5x_2+2x_3+3x_4+2x_5+3x_6\leq 7\\ \text{maximise } &2x_1+4x_2+2x_3+5x_4+4x_5+3x_6 \end{aligned}$$

We must describe knapsack in pseudo-Boolean terms, but our solver can do whatever it likes.

Demirović, McCreesh, McIlree, Nordström, Oertel, Sidorov: Unpublished

# Proofs for Dynamic Programming Algorithms for Knapsack

- For backtracking search, we constructed a proof tree out of RUP steps.
- For dynamic programming:
  - Use extension variables to describe states.
  - Prove implications between states to create a decision diagram.

#### Extension Variables for States

For each state (or entry in the matrix) on layer  $\ell$ , create extension variables

$$\begin{split} W_w^\ell &\Leftrightarrow \sum_{i=1}^\ell \boldsymbol{w}_i x_i \geq w \\ P_p^\ell &\Leftrightarrow \sum_{i=1}^\ell \boldsymbol{p}_i x_i \leq p \\ N_{w,p}^\ell &\Leftrightarrow W_w^\ell + P_p^\ell \geq 2 \end{split}$$

### Transitioning Between States

We don't have to take an item on layer  $\ell$ , so need to prove:

$$\begin{split} W^{\ell-1}_w \wedge \overline{x}_\ell \Rightarrow W^\ell_w \\ P^{\ell-1}_p \wedge \overline{x}_\ell \Rightarrow P^\ell_p \\ N^{\ell-1}_{w,p} \wedge \overline{x}_\ell \Rightarrow N^\ell_{w,p} \end{split}$$

### Transitioning Between States

We don't have to take an item If we can't take item on layer  $\ell$ , on layer  $\ell$ , so need to prove: need to prove:

$$\begin{split} W_w^{\ell-1} \wedge \overline{x}_\ell \Rightarrow W_w^\ell & W_w^{\ell-1} \Rightarrow \overline{x}_\ell \\ P_p^{\ell-1} \wedge \overline{x}_\ell \Rightarrow P_p^\ell & N_{w,p}^{\ell-1} \Rightarrow \overline{x}_\ell \\ N_{w,p}^{\ell-1} \wedge \overline{x}_\ell \Rightarrow N_{w,p}^\ell & N_{w,p}^{\ell-1} \Rightarrow N_{w,p}^\ell \end{split}$$

Dynamic Programming Demirović, McCreesh, McIlree, Nordström, Oertel, Sidorov; Unpublished

### Transitioning Between States

We don't have to take an item on layer  $\ell$ , so need to prove:

If we can't take item on layer  $\ell$ , If we can take item on layer  $\ell$ , we need to prove:

$$\begin{split} W_w^{\ell-1} \wedge \overline{x}_\ell &\Rightarrow W_w^\ell & W_w^{\ell-1} \Rightarrow \overline{x}_\ell \\ P_p^{\ell-1} \wedge \overline{x}_\ell &\Rightarrow P_p^\ell & N_{w,p}^{\ell-1} \Rightarrow \overline{x}_\ell \\ N_{w,p}^{\ell-1} \wedge \overline{x}_\ell &\Rightarrow N_{w,p}^\ell & N_{w,p}^{\ell-1} \Rightarrow N_{w,p}^\ell \end{split}$$

need to prove:

$$\begin{split} W_w^{\ell-1} \wedge x_\ell &\Rightarrow W_{w'}^\ell \\ P_p^{\ell-1} \wedge x_\ell &\Rightarrow P_{p'}^\ell \\ N_{w,p}^{\ell-1} \wedge x_\ell &\Rightarrow N_{w',p'}^\ell \\ N_{w,p}^{\ell-1} &\Rightarrow N_{w,p}^\ell + N_{w',p'}^\ell \geq 1 \end{split}$$

where

$$(w',p') = (w + \boldsymbol{w}_{\ell}, p + \boldsymbol{p}_{\ell})$$

## Merged States

For each  $N_{w,p}^{\ell}$  that is dominated by some other  $N_{w',p'}^{\ell}$ , we prove  $N_{w,p}^{\ell} \Rightarrow N_{w',p'}^{\ell}$ . We can do this by unwrapping the conjunction, proving

$$\begin{split} W_w^\ell \Rightarrow W_{w'}^\ell \qquad \text{ i.e. } \qquad (\sum_{i=1}^\ell \boldsymbol{w}_i x_i \ge w) \Rightarrow (\sum_{i=1}^\ell \boldsymbol{w}_i x_i \ge w') \text{ for some } w' \le w \\ P_p^\ell \Rightarrow P_{p'}^\ell \qquad \text{ i.e. } \qquad (\sum_{i=1}^\ell \boldsymbol{p}_i x_i \ge p) \Rightarrow (\sum_{i=1}^\ell \boldsymbol{p}_i x_i \ge p') \text{ for some } p' \ge p \end{split}$$

"If there is an assignment to the first  $\ell x_i$  variables where the weight sums to at least 7 and the profit to no more than 4, then there is an assignment where the weight sums to at least 6 and the profit to no more than 5".

## Establishing Completeness

Must show that we have to be in one of the states on this layer,

$$\sum_{(w,p) \text{ on layer } \ell} N_{w,p}^\ell \geq 1$$

We can use the at-least-one constraint

$$\sum_{(w,p) \text{ on layer } \ell-1} N_{w,p}^{\ell-1} \geq 1$$

from the previous layer, and resolve on each

$$N_{w,p}^{\ell-1} \Rightarrow N_{w,p}^{\ell} + N_{w',p'}^{\ell} \ge 1 \qquad \qquad \text{or} \qquad \qquad N_{w,p}^{\ell-1} \Rightarrow N_{w,p}^{\ell}$$

## Reading Off a Conclusion

We can log an optimal solution, and get a solution-improving constraint.

We have an at-least-one constraint over feasible states on the final layer, which we can unwrap to only talk about profits.

The solution-improving constraint contradicts each entry in the at-least-one constraint.



## Autotabulation

- Sometimes advantageous to replace several constraints over the same variables with a single table constraint.
- Can be done by a skilled modeller, or by a solver automatically.
  - But what if the modeller or solver makes a mistake?
- We can do this inside the proof, rather than inside the model.

## Autotabulation Proofs

- Run a search over a restricted subset of variables.
- Whenever we find a solution, create an extension variable

$$t_i \Leftrightarrow (x_{=1} \land y_{=2} \land z_{=4})$$

- For the remainder of the proof, add  $\overline{t}_i$  as a guess.
- End up deriving  $\wedge_i \overline{t}_i \Rightarrow \bot$ , which is  $\vee_i t_i$  or  $\sum_i t_i \ge 1$ .

## Knapsack as a Constraint

 $x_i \in \{0, 1, \text{maybe other non-negative values}\}$  $W, P \in \{\text{some domain of non-negative values}\}$  $W = \sum_i w_i x_i$  $P = \sum_i p_i x_i$ 

Now we can have lower and upper bounds on both W and P, and maybe we can reason that some items must or must not be taken.

Effectively we're solving two (or one, or more?) non-negative integer linear equations simultaneously.

## Decision Diagrams are Table Constraints but Better

- Can often represent the solution set compactly as a decision diagram.
- Decision diagrams are like tables, but with bits of the table merged together.

## A Change of States

For each state (or entry in the matrix) on layer  $\ell$ , define



$$N_{w,p}^{\ell} \Leftrightarrow W \!\!\uparrow_w^{\ell} + W \!\!\downarrow_w^{\ell} + P \!\!\uparrow_p^{\ell} + P \!\!\downarrow_p^{\ell} \geq 4$$

So now our states represent *exact* weights and profits.

## A Change of Merge Rules

We can no longer merge non-identical states!

Reassuringly, the proofs won't work if you try this...

End up trying to prove "if there is an assignment to the first  $\ell x_i$  variables where the weight sums to *exactly* 7 and the profit to *exactly* 4, then there is an assignment where the weight sums to *exactly* 6 and the profit to *exactly* 5.

## Establishing Arc Consistency

We can read off all possible values for P and W from the final layer.

Easy to use this and resolution with the at-least-one constraint to eliminate all other values.

If we used weaker state reification variables, we could merge more states but would get weaker consistency on the variables.

But what about the  $x_i$  variables?















## Solving and Justification Languages are Different

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  - Not really what's happening: there are resolution proofs that SAT solvers can't find.
- We need a stronger input language and proof system to justify modern SAT solving techniques.
- A simpler input language and proof system is fine for justifying modern CP and graph solving techniques.

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