**Simon Dold** Malte Helmert Jakob Nordström Gabriele Röger Tanja Schindler

> University of Basel University of Copenhagen and Lund University

> > WHOOPS 2025

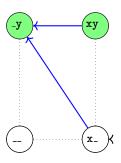
Optimal Planning

## Planning Task induces Factored Transition System

Variables:  $\{x, y \}$ Initial state:  $\{x\}$ Goal condition:  $\{y \}$ 

**Actions:**  $\langle \text{pre, add, del, cost} \rangle$ 

• 
$$a_1 = \langle \{x\}, \{y\}, \{x\}, 1 \rangle$$



## Planning Task induces Factored Transition System

Variables:  $\{x, y, z\}$ Initial state:  $\{x\}$ 

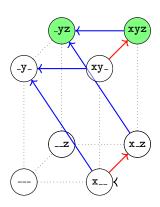
Optimal Planning

Goal condition:  $\{y, z\}$ 

**Actions:** (pre, add, del, cost)

• 
$$a_1 = \langle \{x\}, \{y\}, \{x\}, 1 \rangle$$

• 
$$a_2 = \langle \{x\}, \{z\}, \{\}, 2\rangle$$



Variables:  $\{x, y, z\}$ Initial state:  $\{x\}$ 

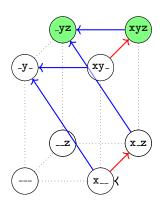
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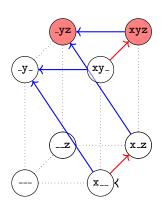
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Optimal Planning

Goal condition:  $\{y, z\}$ 

**Actions:** (pre, add, del, cost)

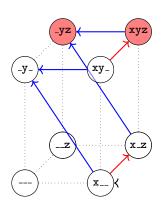
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Variables:  $\{x, y, z\}$ Initial states:  $\{\{x\}\}$ Goal condition:  $\{y, z\}$ **Actions:** (pre, add, del, cost)

Optimal Planning

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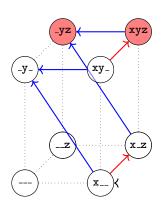
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Optimal Planning

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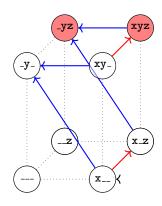
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Optimal Planning

**Actions:** (pre, add, del, cost)

- $a_1 = \langle \{x\}, \{y\}, \{x\}, 1 \rangle$
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**Error trace:** Sequence of actions that lead form an initial state to an error state.

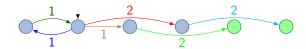


Optimal Planning

Given: a planning task  $\Pi$ 

Output: " $\langle a_1,\ldots,a_n\rangle$  is a plan for  $\Pi$  with minimal cost ",

or "no plan for  $\Pi$  exists".

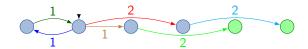


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## Complexity

- Checking a given plan is in P.
- However, plans can be exponentially long.
- Planning is **PSPACE**-complete.
  - A bounded-length computation of a nondeterministic Turing machine can be represented as a planning task.

#### Proofs for planner outputs

- " $\langle a_0,\dots,a_n\rangle$  is a plan for  $\Pi$ "  $\leadsto$  The plan is the proof. Use validator (e.g., VAL, INVAL)
- " $\langle a_0, \dots, a_n \rangle$  is a plan for  $\Pi$  with minimal cost"  $\leadsto$  lower-bound certificate<sup>2</sup> (and validate plan)

<sup>&</sup>lt;sup>1</sup>Salomé Eriksson. *Certifying Planning Systems: Witnesses for Unsolvability* (Ph.D. Thesis 2019)

<sup>&</sup>lt;sup>2</sup>Esther Mugdan, Remo Christen and Salomé Eriksson. *Optimality Certificates for Classical Planning* (ICAPS 2023)

#### Pseudo-Boolean Proof for Optimal Planning

There is no plan with cost lower than B iff there is a property  $\varphi$ over state-cost pairs that

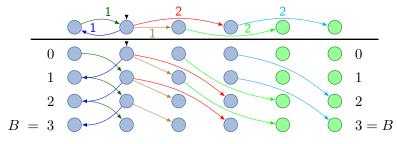
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- is inductive under action applications (a.k.a. an invariant)
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Lower-bound certificate:  $\varphi$  + proofs for (1)–(3)

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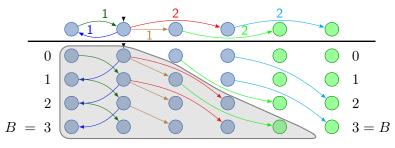
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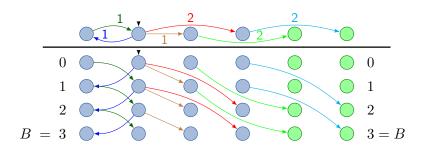


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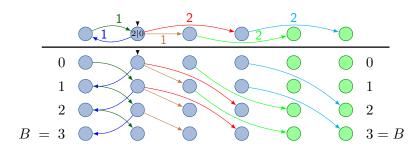
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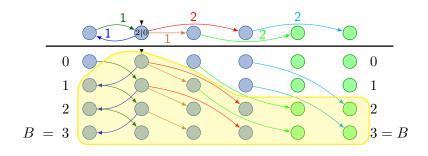


- A\*: From all considered paths, take the most promising and also consider its one-step continuations.
- g is the g-value "how much was used to get here?"
- h is the heuristic value "how much more is necessary?"
- Most promising means h + g is minimal.
- $\mathfrak{p}$  indicates h=1 and g=2.
- Admissible heurisitc never overestimates

   \times 1 ower-bound certificate for that state

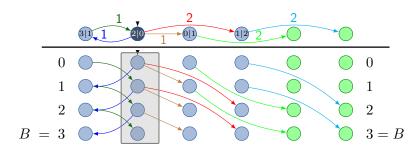


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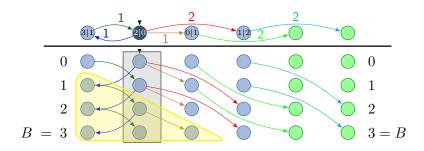


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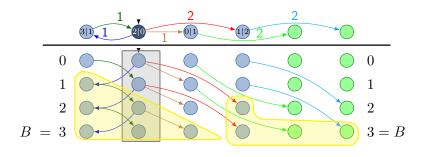
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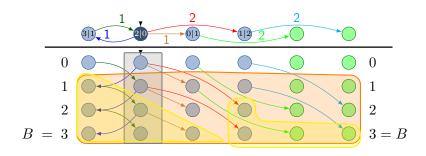


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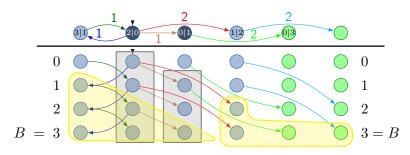
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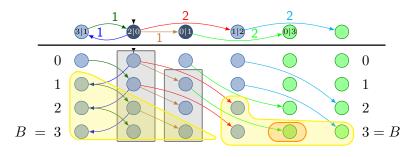
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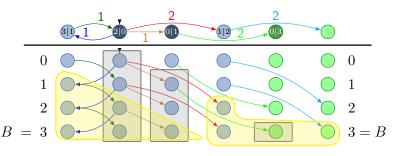
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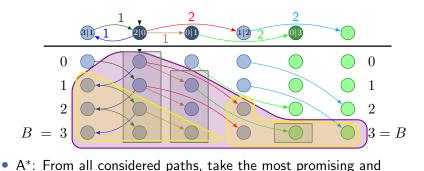
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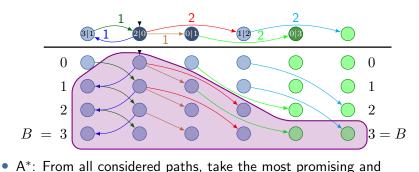
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## Certifying Optimality based on Pseudo-Boolean Constraints

- proof logging:
  - log representation of invariant  $\varphi$  as pseudo-Boolean circuit
  - log pseudo-Boolean constraint proofs for the three properties (initial state, goal, inductivity)
- verification:
  - encode planning semantics as pseudo-Boolean constraints
  - combine with invariant definition and proof log
  - use VeriPB to verify resulting pseudo-Boolean proof

## Pseudo-Boolean Encoding of Planning Semantics - Part I

Given: planning task  $\Pi = \langle V, I, G, A \rangle$ Encoding: (similar to SAT encoding with horizon 1)

- Boolean state variables V: PB variables V, PB cost variables  $V_c = \{c_0, \dots, \lceil \log_2 B \rceil \}$ , copies V',  $V'_c$
- initial state  $I \subseteq V$ :

$$r_I \Leftrightarrow \sum_{v \in I} v + \sum_{v \in V \setminus I} \bar{v} \ge |V|$$

• goal  $G \subseteq V$ :

$$r_G \Leftrightarrow \sum_{v \in G} v \ge |G|$$

### Pseudo-Boolean Encoding of Planning Semantics - Part II

• actions  $a \in A$  with preconditions  $\textit{pre}(a) \subseteq V$ , add effects  $\textit{add}(a) \subseteq V$ , delete effects  $\textit{del}(a) \subseteq V$ ,  $cost \ \textit{cost}(a) \in \mathbb{N}_0$ :

$$r_a \Rightarrow \sum_{v \in \mathit{pre}(a)} v + \sum_{v \in \mathit{add}(a)} v' + \sum_{v \in \mathit{del}(a)} \overline{v'} + \sum_{v \in V \setminus \mathit{evars}(a)} eq_{v,v'} + \Delta c^{=\mathit{cost}(a)} \ge |\mathit{pre}(a)| + |V| + 1$$

where (here the Pseudo-Boolean encoding is very useful)

$$\Delta c^{=k} \Leftrightarrow \sum_{i=0}^{\lceil \log_2 B \rceil} 2^i c_i' - \sum_{i=0}^{\lceil \log_2 B \rceil} 2^i c_i = k$$

transition relation:

$$r_T \Leftrightarrow \sum_{a \in A} r_a \ge 1$$

#### Pseudo-Boolean Lower Bound Certificates

Lower-bound certificate for  $\Pi$  with bound B:

• PB circuit representing invariant  $\varphi$  based on variables  $V, V_c$ :

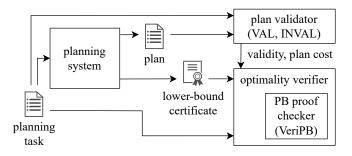
$$r_0 :\Leftrightarrow C(V, V_c)$$
...
$$r_n :\Leftrightarrow C(V, V_c, r_0, \dots, r_{n-1})$$

$$r_{\varphi} :\Leftrightarrow C(V, V_c, r_0, \dots, r_{n-1}, r_n)$$

- VeriPB proof for initial state lemma  $\overline{r_I} + \overline{cost}_{=0} + r_{\varphi} \geq 1$
- VeriPB proof for goal lemma  $\overline{r_G} + \overline{r_{arphi}} + cost_{\geq B} \geq 1$
- ullet VeriPB proof for inductivity lemma  $\overline{r_{arphi}} + \overline{r_T} + r_{arphi}' \geq 1$

Note: VeriPB proof contains two synchronized copies (unprimed+primed) of the circuit reifications (and some proof parts)

## Certified Optimal Planning



## **Current Status**

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#### General framework:

- definition of pseudo-Boolean lower-bound certificates
- PB encoding of planning semantics 
  formally verified 
  x
- implementation ✗ (WIP)
- theoretical relation to earlier approaches X (WIP)

#### Proof logging planning algorithms:

- general approach for heuristic search
- PDB and h<sup>max</sup> heuristics
- implementation ✗ (WIP)
- more heuristics X
- SAT planning, symbolic search X
- → more details in our arXiv/ICAPS 2025 paper